#4.

## JC02 Rec'd PCT/PTO 2 7 MAR 2002

BOX PCT PATENT 3868-0108P

### IN THE U.S. PATENT AND TRADEMARK OFFICE

APPLICANT:

Hans-Rainer HOFFMANN et al.

INTERNATIONAL APPL. NO.:

PCT/EP00/07897

APPL. NO.:

10/069,399

Conf.:

FILED:

February 26, 2002

FOR:

RAPIDLY DECOMPOSING CHITOSAN-

BASED PELLETS

# LETTER SUBMITTING ADDITIONAL DOCUMENTS FOR ENTERING NATIONAL PHASE FOR A PCT APPLICATION

#### BOX PCT

Assistant Commissioner for Patents Washington, DC 20231

March 27, 2002

Sir:

Under the provisions of 37 C.F.R. § 1.494 or 37 C.F.R. § 1.495, attached hereto are the following additional items necessary for entering the national phase in connection with the above-identified PCT international application.

$\boxtimes$	Exec	cuted Declarati	on and	Pow	ver	of .	Attorney.
	$\boxtimes$	Original		Pho	oto	сору	
	The	specification	attach	ed	to	the	executed

The specification attached to the executed Declaration and Power of Attorney is a true copy of the specification which was filed in the U.S. Patent and Trademark Office on February 26, 2002, including any amendments thereto (if applicable) filed on even date therewith.

Appl. No. 10/069,399

$\boxtimes$	The undersigned hereby declares that "Attorney Docket
No. 3868-	0108P" on page 1 of the attached inventors' Declaration
correspond	ds to Appl. No. 10/069,399 filed February 26, 2002
entitled '	"RAPIDLY DISINTEGRATING PELLETS BASED ON CHITOSAN."
	English language specification, claims, and Abstract
	with ( ) sheets of drawings.
	Applicant claims small entity status under 37 C.F.R.
	§ 1.27.
	Attached is a copy of the Notification of Missing
	Requirements (Formalities Letter).
	No extension fee is required because the undersigned
has not	yet received the Notification of Missing Requirements
(Formalit	ies Letter). However, if for some reason it is
determined	d that an extension of time is necessary, applicant
hereby re	spectfully petitions for an extension of time for the
filing of	the present paper in accordance with the provisions of
37 C.F.R.	§ 1.136 and 37 C.F.R. § 1.17.
	Applicant(s) hereby respectfully petitions for
() month	(s) extension of time for the filing of the present
paper in a	accordance with the provisions of 37 C.F.R. § 1.136 and
37 C.F.R.	§ 1.17. The required fee of \$0.00 is attached hereto.

Appl. No. 10/069,399

The Government Filing Surcharge in the amount of \$130.00 in accordance with 37 C.F.R. §§ 1.494 and 1.492 was previously paid for concurrently with the filing of the application on February 26, 2002.

- Submitted concurrently herewith under separate cover for recording is an Assignment.
- A Fee of \$0.00 to cover the increase in fees of the filing Surcharge is enclosed.
- Check(s) in the amount of \$0.00 to cover the abovementioned fees is/are enclosed.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

i, stattery, #2

P.O. Box 747

Falls Church, VA 22040-0747

(703) 205-8000

3868-0108P

Attachments

(Rev. 12/03/01)

PATENT 3868-0108P

## IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant:

Hans-Rainer HOFFMANN et al. Conf.

Appl. No.:

10/069,399

Group:

Filed:

February 26, 2002

Examiner:

For:

RAPIDLY DECOMPOSING CHITOSAN-BASED

PELLETS (AS AMENDED)

## LARGE ENTITY TRANSMITTAL FORM

Assistant Commissioner for Patents Washington, DC 20231

March 27, 2002

## Sir:

Transmitted herewith is a Preliminary Amendment in the aboveidentified application.

The	e e	enclo	sed	documer	ıt	is	bei	ng	trar	ısmi	tted	via	the	Certif	icate
of	Ma	ailin	g p	rovisio	ns	of	37	C.	F.R.	. §	1.8.				

The enclosed document is being transmitted via facsimile.

The fee has been calculated as shown below:

	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR		PRESENT EXTRA	RATE	ADDITIONAL FEE
TOTAL	18	-	20	=	0	\$18	\$0.00
INDEPENDENT	1	-	3	=	0	\$84	\$0.00
FIRST PRESEN	\$280	\$0.00					
						TOTAL	\$0.00

Appl. No. 10/069,399

		onth(s) extension of time pursuant to136(a). \$0.00 for the extension of
$\boxtimes$	No fee is required.	
	Check(s) in the amount of	of \$0.00 is(are) enclosed.
	Please charge Deposit A \$0.00. This form is subm	ccount No. 02-2448 in the amount of aitted in triplicate.
overp requi	urrent, and future repli- payment to Deposit Accoun	ssioner is hereby authorized in this, es, to charge payment or credit any to No. 02-2448 for any additional fees 1.16 or under 37 C.F.R. § 1.17; me fees.
		Respectfully submitted,
		BIRCH, STEWART, KOLASCH & BIRCH, LLP
•	•	James M. Slattery, #28,380  P.O. Box 747  Falls Church, VA 22040-0747  (703) 205-8000
ATTAC	CHMENT	(Rev. 09/27/01)